

Introductory sub-table (I)(a)

Name of the Company: ARAVALI SECURITIES & FINANCE LIMITED

Scrip Code, Name of the scrip, class of security: 512344

Quarter ended: 30.09.2013

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Total paid-up capital of the Company, assuming full conversion of warrants and convertible securities	15153850		

For Aravali Securities & Finance Limited

Anuradha Rawat

Anuradha Rawat
Company Secretary & Compliance Officer



Statement Showing Shareholding Pattern

Table (I)(a)

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of(A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	3	4759352	4759352	31.41	31.41	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)								
	Sub Total(A)(1)	3	4759352	4759352	31.41	31.41	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	1	1372511	1372511	9.06	9.06	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
e-i								
	Sub Total(A)(2)	1	1372511	1372511	9.06	9.06	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	4	6131863	6131863	40.46	40.46	0	0.00

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(B)	Public shareholding								
1	Institutions								
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00	
(b)	Financial Institutions / Banks	9	13205	8705	0.09	0.09	0	0.00	
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00	
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00	
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00	
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00	
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00	
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	
(i)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00	
(i-ii)		0	0	0	0.00	0.00	0	0.00	
	Sub-Total (B)(1)	9	13205	8705	0.09	0.09	0	0.00	
(B)									
2	Non-institutions								
(a)	Bodies Corporate	187	1871814	1849118	12.35	12.35	0	0.00	
(b)	Individuals								
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	16089	4242188	1885537	27.99	27.99	0	0.00	
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	52	2696698	2519483	17.80	17.80	0	0.00	
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	
(d)	Any Other (specify)						0	0.00	
(d-i)	NRI (Rept & Non -Rept)	18	37750	37750	0.25	0.25	0	0.00	
(d-ii)	Hindu Undivided Family	88	160332	160332	1.06	1.06	0	0.00	
(d-iii)	Clearing Members	0	0	0	0.00	0.00	0	0.00	
	Sub-Total (B)(2)	16434	9008782	6452220	59.45	59.45	0	0.00	
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	16443	9021987	6460925	59.54	59.54	0	0.00	
								0.00	
	TOTAL (A)+(B)	16447	15153850	12592788	100.00	100.00	0	0.00	
(C)	Shares held by Custodians and against which Depository Receipts have been issued								
1	Promoter and Promoter Group	0	0	0	0.00	0.00	0	0.00	
2	Public	0	0	0	0.00	0.00	0	0.00	
	Sub-Total (C)	0	0	0	0	0	0	0.00	
	GRAND TOTAL (A)+(B)+(C)	16447	15153850	12592788	100.00	100.00	0	0.00	

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(I)(b) **Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Devashish Poddar (HUF)	400000	2.64	0	0.00	0.00	0	0	0	0	0
2	Anupam Poddar	652260	4.30	0	0.00	0.00	0	0	0	0	0
3	Devashish Poddar	3707092	24.46	0	0.00	0.00	0	0	0	0	0
4	C.L.Poddar	1372511	9.06	0	0.00	0.00	0	0	0	0	0
TOTAL		6131863	40.46	0	0.00	0.00	0	0	0	0	0

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

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(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares								
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	RITA SACHDEVA	748000	4.94	0	0	0	0	0
2	BISHWANATH TRADERS AND INVESTMENT LTD.	515479	3.40	0	0	0	0	0
3	PAULASTYA SACHDEV	280000	1.85	0	0	0	0	0
4	FRR SHARES AND SECURITIES LTD.	231794	1.53	0	0	0	0	0
5	LE ROY SECURITIES PVT. LTD.	225000	1.48	0	0	0	0	0
6	NUPUR SHARMA	200000	1.32	0	0	0	0	0
7	INDER MOHAN SHARMA	200000	1.32	0	0	0	0	0
TOTAL		2400273	15.84	0	0	0	0	0

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(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company								
Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIL	0	0.00	0	0	0	0	0
2	NIL	0	0.00	0	0	0	0	0
TOTAL		0	0.00	0	0	0	0	0

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(I)(d) Statement showing details of locked-in shares			
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0.00
2	NIL	0	0.00
TOTAL		0	0.00

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(II)(a) Statement showing details of Depository Receipts (DRs)				
Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.00
2	NIL	0	0	0.00
TOTAL		0	0	0.00

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(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares				
Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.00
2	NIL	0	0	0.00
TOTAL		0	0	0.00

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